

TOTAL ETHICAL AUDITING™

How to Assess Ethics and Fraud Red Flags

A CPE Program Designed Specifically for Internal Auditors



To read more about the presenting company, visit:

www.AuditConsultingEducation.com

Contact information:

JoeAuditConsultingEducation.com
(970) 439-4665

PRESENTED BY AMANDA "JO" ERVEN, CPA, CIA, CFE, CTQA

- **PRESIDENT AND FOUNDER, AUDIT. CONSULTING. EDUCATION. LLC**
- **INTERNAL AUDIT STRATEGIST, ETHICS & CULTURE CONSULTANT, CPE TRAINER**
- **AUTHOR OF TOTAL QUALITY AUDITING, YOUR ROAD. YOUR CHOICES., AND BECOMING THE EVERYDAY ETHICIST**

NASBA Program Summary:

Total Ethical Auditing™ (or TEA) as published in Jo's book, *Becoming The Everyday Ethicist*, is "a mindset, mission, and execution process for Internal Auditors to audit organization leadership and culture." It includes three parts:

- 1) How to **"Think & Plan Like a Fraud Examiner"** by utilizing 3 innovative ethics/fraud assessment techniques.
- 2) How to **"Execute Like an Investigative Journalist"** by deeply reviewing those areas of high risk.
- 3) How to **"Mitigate Like a Coach"** by consulting, counseling, and training to improve ethical conduct.

In this program, we will discuss the main types of ethics/fraud "red flags" that exist within organizations today, such as: Disingenuous Leaders, Suspect Accounting Processes, Aggressive Incentives & Compensation, and (Un)Ethics & Technology - just to name a few. You will then use new assessment techniques learned to prioritize WHERE your focus needs to be when auditing culture and HOW to start!

Program Level: Basic | **Prerequisites:** None | **Program For:** Auditors and Other Professionals

Instructional Method: Group-Live or Group Internet Based | **Advance Preparation:** None

Recommended CPE Credit: 1-4 Hours | **Field of Study:** Behavioral Ethics/Auditing



Learning Objective 1: "ETHICAL RISKS"

Learn the eight general categories of "Ethical Risks" Jo identifies in her book, *Becoming The Everyday Ethicist*. Begin to determine which risks are applicable to your organization.

Learning Objective 2: "ETHICS IN REAL-LIFE"

Review real-life cases for each of the risk categories and dissect the "why" behind each case to learn the lessons.

Learning Objective 3: "ETHICS RISK ASSESSMENT"

Understand why performing an ethics risk assessment is of utmost importance. Learn how to "Think and Plan Like a Fraud Examiner" utilizing Jo's three assessments "The Fraud Triangle Assessment," "The Risk Impact Assessment," and "The Red Flag Assessment."

Learning Objective 4: "WHERE TO START"

Lastly, you will learn how to prioritize those ethical risks to "Execute Like an Investigative Journalist" and "Mitigate Like a Coach" - digging into what matters at your organizations and preventing future ethical failures.

**To learn pricing or to book this program, just email
Jo@AuditConsultingEducation.com**

BONUS: Jo includes complimentary copies of her Ethics book as a gift for all attendees included in her pricing!

Conference registration and policies (refunds/cancellations/complaints) are dependent on the event sponsor. NASBA CPE certificates can be provided subsequent to your event by the speaker, if needed. See NASBA Sponsor info on next page.



Speaker Bio

AMANDA "JO" ERVEN, CPA, CIA, CFE, CTQA

**INTERNAL AUDIT STRATEGIST
ETHICS & CULTURE CONSULTANT
HIGHER EDUCATION PROFESSOR
CPE TRAINER
KEYNOTE SPEAKER
AUTHOR**



Meet Jo!... The President and Founder of Audit. Consulting. Education. LLC. After a successful career in external/internal audit and accounting, Jo is now an active internal audit strategist, management and culture consultant, higher education professor, author, and speaker, providing Continuing Professional Education (CPE) hours, live and virtually, to organizations across the globe.

Jo pushes the envelope of traditional auditing practices. She believes auditors should no longer be reactive and should focus on proactive, **real** value-add activities and ensure the utmost ethical behavior is occurring at organizations. Her trademarked approach to Internal Audit, *Total Quality Auditing*, was published in both book and workbook format early in 2019.

Personally, Jo is known for her proactive nature as well. After finding out she was positive for the breast and ovarian cancer gene mutation (BRCA1) in 2015, Jo underwent multiple preventative surgeries, including a double mastectomy in 2016. She believes knowledge is power and encourages others to take action in their lives. Jo's second book/workbook, *Your Road. Your Choices.*, begins with a look at her story and exploring how we can each make a choice to embrace adversity. She delivers several keynote presentations on our life choices, which have been called an "epic experience."

Jo's third book, *Becoming The Everyday Ethicist: Doing Things the Right Way the First Time*, was published at the end of 2020. The book is based on her personal and professional life experiences as well as her ethics research and studies. Jo is passionate about ethics and all her presentations provide individuals with the keys to living an ethical life, show leaders how to display integrity and earn trust, and demonstrate the importance of ethics (and ethics monitoring by Internal Auditors) in all organizations.

Jo's motto says the most about her personal and professional outlook: "**Good things come to those who wait... but don't. You deserve better than good.**" Every one of her books and presentations focuses on that proactive stance, and how we can immediately connect our actions to our values. She can't wait to share her motto and MORE with you and your group!



Audit. Consulting. Education. LLC is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors (**Sponsor Identification Number: 142625**). State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.NASBARegistry.org.

